

Physics 501-20  
Cosmology

We will now look at the General Relativity which gives the solution, but the main result is that the universe expands as a function of time. In particular the distance between two nearby objects increases, not because they are moving but because new space is created between the objects. If we use  $x$  to label the position of objects at rest, then the distance function between nearby objects is given by (Pythagoras's theorem)

$$ds_{space}^2 = a(t)^2(dx^2 + dy^2 + dz^2) = a^2(d\vec{x} \cdot d\vec{x}). \quad (1)$$

I.e, the distance between nearby objects increases as  $a(t)$ . The special relativistic spacetime distance is given by

$$ds^2 = dt^2 - ds_{space}^2 = dt^2 - a^2(d\vec{x} \cdot d\vec{x}) \quad (2)$$

The equation of motion of a scalar field is

$$\frac{1}{a^3} \partial_t a^3 \partial_t \phi - \frac{1}{a^2} \nabla^2 \phi = 0 \quad (3)$$

which can be derived from a Lagrangian

$$\mathcal{L} = \frac{1}{2} \int (a^3 \partial_t \phi^2 - a(\nabla \phi \cdot \nabla \phi)) d^3x \quad (4)$$

The conjugate momentum to  $\phi(t, x)$  is

$$\frac{\delta \mathcal{L}}{\delta \partial_t \phi(t, x)} = \pi \quad (5)$$

or

$$\pi = a^3 \partial_t \phi \quad (6)$$

and the Hamiltonian is

$$H = \int \pi \partial_t \phi d^3x - \mathcal{L} = \frac{1}{2} \int \left( \frac{\pi^2}{a^3} + a |\nabla \phi|^2 \right) d^3x \quad (7)$$

The Hamiltonian action is

$$S = \int \pi \partial_t \phi d^3x - H = \int \left[ \pi \partial_t \phi - \frac{1}{2} \int \left( \frac{\pi^2}{a^3} + a \nabla \phi \cdot \nabla \phi \right) d^3x \right] d^3x \quad (8)$$

The equations of motion are

$$\partial_t \phi = \frac{\pi}{a^3} \quad (9)$$

$$\partial_t \pi = a \nabla^2 \phi \quad (10)$$

for which solutions are

$$\phi_k(t, x) = \frac{1}{\sqrt{2\pi}} \phi_k(t) \frac{e^{i(k \cdot x)}}{\sqrt{(2\pi)^3}} d^3x \quad (11)$$

and similarly for  $\pi_k$ , with the time dependent equations

$$\partial_t \phi_k = \frac{\pi_k}{a^3} \quad (12)$$

$$\partial_t \pi_k = ak^2 \phi_k(t) \quad (13)$$

which come from a Hamiltonian action

$$\frac{H_k}{a^3 + ak^2 \phi_k^2} = \frac{1}{2} (\pi_k^2) \quad (14)$$

Thus we have the action for each  $\vec{k}$ ,

$$S_k = \int \pi_k (\partial_t \phi_k) - \frac{1}{2} \left( \frac{\pi_k^2}{a^3} + ak^2 \phi_k^2 \right) d^3k dt \quad (15)$$

$$= \int \pi_k (\partial_t \phi_k) - \frac{1}{2} \frac{k}{a} \left( \frac{\pi_k^2}{ka^2} + ka^2 \phi_k^2 \right) dt d^3k \quad (16)$$

Comparing this for each  $k$  to the expression for the adiabatic expansion we find that

$$\tau_k = \int \frac{k}{a} dt \quad (17)$$

$$\Omega_k = ka^2 \quad (18)$$

We thus have

$$\hat{\pi}_k = \frac{\pi_k}{\sqrt{ka}} - \frac{\dot{a}}{a} \sqrt{ka} \phi_k \quad (19)$$

$$\hat{\phi}_k = \phi_k \sqrt{ka} \quad (20)$$

where  $\dot{\phantom{x}} = \frac{d}{d\tau_k}$  and

$$\hat{H}_k = \frac{1}{2} (\hat{\pi}_k^2 + \hat{\phi}_k^2 (1 - \frac{\ddot{a}}{a})) \quad (21)$$

Now this  $\tau_k$  depends on  $k$  and scales as  $k$  for large  $k$ , i.e. so  $\ddot{a}/a$  will scale as  $\frac{1}{k^2}$  and becomes very small for large  $k$ . On the other hand for small  $k$  this will be very large, and if  $\ddot{a} > 0$ ,  $1 - \ddot{a}/a$  will go negative. In that case the solution to the equations of motion will grow or decrease exponentially, with faster growth for smaller  $k$  in terms of  $\tau_k$ .

The other important relation is between the  $\hat{\phantom{x}}$  momentum and configuration and the original.

$$\hat{\pi}_k = \pi_k / (\sqrt{ka}) + \dot{a} \frac{\phi_k}{\sqrt{k}} \quad (22)$$

$$\hat{\phi}_k = \sqrt{ka} \phi_k \quad (23)$$

Let us assume that we are looking at large enough  $k$  that the  $k$  dependence in  $\hat{H}$  can be neglected. Then the solution for  $\hat{\phi}$ ,  $\hat{\pi}$  is

$$\hat{\phi}_k = \hat{\phi}_k(0)\cos(k\hat{\tau}) + \hat{\pi}_k(0)\sin(k\hat{\tau}) \quad (24)$$

$$\hat{\pi}_k = \hat{\pi}_k(0)\cos(k\hat{\tau}) + \hat{\phi}_k(0)\sin(k\hat{\tau}) \quad (25)$$

If  $a(\tau)$  is exponential, then  $\ddot{a}/a$  is constant, and the solution is exact. Since  $\tau = \int \frac{dt}{a}$  or,  $dt = a(\tau)d\tau$ , if  $a(\tau)$  is exponential,  $a(t)$  must be linear in  $t$ . Ie, for a linearly growing universe, one can solve the equation exactly.

Quantization:

Let us now quantize the field. Defining  $\hat{\tau} = \int \frac{k}{a(t)} dt$  and write  $\hat{a}(\hat{\tau}) = a(t(\hat{\tau}))$ .

Let us first define the quantum fields  $\Phi(t, x)$  and  $\Pi(t, x)$  which obey

$$[\Phi(t, x), \Pi(t, x')] = i\delta^3(x - x') \quad (26)$$

These obey the equations

$$\partial_t \Phi(t, x) = \frac{1}{a(t)^3} \Pi(t, x) \quad (27)$$

$$\partial_t \Pi(t, x) = a(t) \nabla^2 \Phi(t, x) \quad (28)$$

Let us now look at the evolution for a very small time  $\delta t$

$$\Phi(t + \delta t, x) \approx \Phi(t, x) + \delta t \frac{1}{a(t)^3} \Pi(t, x) \quad (29)$$

$$\Pi(t + \delta t, x) \approx \Pi(t, x) + a(t) \nabla^2 \Phi(t, x) \quad (30)$$

Now let us take the Hamiltonian diagonalisation plane wave modes, which are defined at time  $t$ , so that

$$-i\omega_k \phi_{D\vec{k}}(t) \frac{e^{i\vec{k}\cdot\vec{x}}}{\sqrt{(2\pi)^3}} = \frac{\pi_{D\vec{k}}(t)}{a(t)^3} \frac{e^{i\vec{k}\cdot\vec{x}}}{\sqrt{(2\pi)^3}} \quad (31)$$

$$-i\omega_k \pi_{D\vec{k}}(t) \frac{e^{i\vec{k}\cdot\vec{x}}}{\sqrt{(2\pi)^3}} = -k^2 a(t) \phi_{D\vec{k}}(t) \frac{e^{i\vec{k}\cdot\vec{x}}}{\sqrt{(2\pi)^3}} \quad (32)$$

where the D stands for Diagonalisation. Normalising the modes with the harmonic norm  $\langle \phi', \phi \rangle = \frac{i}{2} \int (\phi'^*(t, x)\pi(t, x) - \pi'^*(t, x)\phi(t, x)) d^3x$  we have

$$\omega_k^2 = \frac{k^2}{a(t)^2} \quad (33)$$

$$\pi_{D\vec{k}}(t) = ika(t)^2 \phi_{D\vec{k}}(t) \quad (34)$$

Normalizing these modes we get

$$|\phi_{D\vec{k}}(ka(t)^2)| = 1 \quad (35)$$

$$\phi_{D\vec{k}}(t) = \frac{1}{\sqrt{ka(t)}} \quad (36)$$

$$\pi_{D\vec{k}}(t) = -i\sqrt{ka(t)} \quad (37)$$

Thus, the diagonalisation mode at time  $t + \delta t$  is

$$\phi_{D\vec{k}}(t + \delta t) = \frac{1}{\sqrt{k}a(t + \delta t)} \approx \phi_{D\vec{k}a}(1 - \frac{\partial_t a(t)}{a(t)} \delta t) \quad (38)$$

$$\pi_{D\vec{k}}(t + \delta t) = \pi_{D\vec{k}}(t)(1 + \frac{\partial_t a(t)}{a(t)} \delta t) \quad (39)$$

But

$$-\frac{\partial_t a(t)}{a(t)} \delta t \phi_{D\vec{k}} \frac{e^{i\vec{k}\cdot\vec{x}}}{\sqrt{(2\pi)^3}} = -\frac{\partial_t a(t)}{a(t)} \delta t \left( \phi_{D-\vec{k}} \frac{e^{-i\vec{k}\cdot\vec{x}}}{\sqrt{(2\pi)^3}} \right)^* \quad (40)$$

$$\frac{\partial_t a(t)}{a(t)} \delta t \pi_{D\vec{k}} \frac{e^{i\vec{k}\cdot\vec{x}}}{\sqrt{(2\pi)^3}} = -\frac{\partial_t a(t)}{a(t)} \delta t \left( \pi_{D-\vec{k}} \frac{e^{-i\vec{k}\cdot\vec{x}}}{\sqrt{(2\pi)^3}} \right)^* \quad (41)$$

Ie, the change in the diagonalisation mode is just proportional to the complex conjugate of the mode for  $-\vec{k}$ . Ie, it is a mode with negative norm.

Now,

$$A_{D\vec{k}}(t + \delta t) = A_{D\vec{k}} - \left( \frac{\partial_t a(t)}{a(t)} \delta t \right) A_{D-\vec{k}}^\dagger \quad (42)$$

Ie, the vacuum of the Hamiltonian diagonalization at time  $(t + \delta t)$  will be a many particle state of the Hamiltonian diagonalisation at time  $t$ .

$$\int (\langle 0|_{Dt} A_{D\vec{k}}^\dagger(t + \delta t) A_{D\vec{k}}(t + \delta t) |0\rangle_{Dt} d^3 k) \quad (43)$$

$$= \left( \frac{\partial_t a(t)}{a} \delta t \right)^2 \int \langle 0|_{Dt} A_{D-\vec{k}}(t) A_{D-\vec{k}}^\dagger(t) |0\rangle_{Dt} d^3 k \quad (44)$$

$$= \left( \frac{\partial_t a(t)}{a} \delta t \right)^2 \int d^3 k \quad (45)$$

Ie, each mode contributes the same number of particles in that small time interval. The total particle creation over the infinitesimal time interval  $\delta t$  is therefor infinite. The vacuum state according the Hamiltonian diagonalisation at time  $t$  contains an infinite number of particles as defined by the Hamiltonian diagonalisation at time  $t + \delta t$  no matter how small  $\delta t$  is.

This is clearly the wrong answer.

The effective Hamiltonian is

$$H_k = \frac{1}{2} \left( \frac{1}{a^3} \pi_k^2 + k^2 a \phi_k^2 \right) \quad (46)$$

Let us make the asymptotic transformations to the  $\hat{H}$  variables and Hamiltonian, and time

$$\hat{H}_{\vec{k}} = \frac{1}{2} \left( \hat{\pi}_{\vec{k}}^2 + \left( 1 - \frac{\partial_{\tau_k}^2 a(\tau_k)}{a(\tau_k)} \right) \hat{\phi}_{\vec{k}}^2 \right) \quad (47)$$

where

$$\tau_k = \int \frac{k}{a(t)} dt \quad (48)$$

$$a(\tau_k) = a(t(\tau_k)) \quad (49)$$

$$\hat{\phi}_{\vec{k}}(t) = \sqrt{k} a(\tau_k) \phi_{\vec{k}} \quad (50)$$

$$\hat{\pi}_{\vec{k}} = \frac{1}{\sqrt{k} a(\tau_k)} \pi_{\vec{k}} + \frac{\dot{a}}{a} \hat{\phi}_{\vec{k}} \quad (51)$$

We now diagonalize this Hamiltonian.

$$-i\hat{\omega}_k \hat{\phi}_{\vec{k}}(\tau_k) = \hat{\pi}_{\vec{k}}(\tau_k) \quad (52)$$

$$-i\hat{\omega}_k \hat{\pi}_{\vec{k}}(\tau_k) = -1 - \frac{\ddot{a}(\tau_k)}{a(\tau_k)} \hat{\phi}_{\vec{k}}(\tau_k) \quad (53)$$

(where again the  $\tau_k$  dependence is not that of solution to the equations of motion, but the  $\hat{H}$  diagonalisation at time  $\tau_k$  so

$$\hat{\omega}_k^2 = -(1 - \frac{\ddot{a}}{a}) \quad (54)$$

$$\hat{\pi}_{\vec{k}}(\tau_k) = -i\sqrt{1 - \frac{\ddot{a}(\tau_k)}{a(\tau_k)}} \hat{\phi}_{\vec{k}}(\tau_k) \quad (55)$$

The norm is

$$\frac{i}{2} (\hat{\phi}_{\vec{k}}(\tau_k)^* \hat{\pi}_{\vec{k}}(\tau_k) - \hat{\pi}_{\vec{k}}(\tau_k)^* \hat{\phi}_{\vec{k}}(\tau_k)) = \sqrt{1 - \frac{\ddot{a}(\tau_k)}{a(\tau_k)}} \quad (56)$$

$$\hat{\phi}_{\vec{k}}(\tau_k) = (1 - \frac{\ddot{a}(\tau_k)}{a(\tau_k)})^{-\frac{1}{4}} \quad (57)$$

$$\hat{\pi}_{\vec{k}}(\tau_k) = -i(1 - \frac{\ddot{a}(\tau_k)}{a(\tau_k)})^{+\frac{1}{4}} \quad (58)$$

$\phi_{\vec{k}}$  is real, and  $\pi_{\vec{k}}$  is imaginary and thus must equal  $\frac{-i}{\phi_{\vec{k}}}$  to be a normalized mode. Then

$$\hat{\phi}_{\vec{k}}(\tau_k + \delta\tau_k) = \hat{\phi}_{\vec{k}}(\tau) (1 + \frac{\dot{\hat{\phi}}_{\vec{k}}}{\hat{\phi}_{\vec{k}}} \delta\tau_k) \quad (59)$$

$$\frac{\hat{\pi}_{\vec{k}}}{\hat{\phi}_{\vec{k}}} = \frac{\hat{\pi}_{\vec{k}}(1 - \dot{\hat{\phi}}_{\vec{k}})}{\dot{\hat{\phi}}_{\vec{k}} \delta\tau_k} \quad (60)$$

Thus we have

$$\hat{\phi}_{\vec{k}}(\tau_k + \delta\tau_k) = \hat{\phi}_{\vec{k}}(1 + \partial_{\tau_k} \ln(\hat{\phi}_{\vec{k}}) \delta\tau_k) \quad (61)$$

$$= \hat{\phi}_{\vec{k}}(1 + \partial_{\tau_k} \ln(\hat{\phi}_{\vec{k}}) k a \delta t) \quad (62)$$

$$\hat{\pi}_{\vec{k}}(\tau_k + \delta\tau_k) = \pi_{\vec{k}}(\tau_k) (1 - \ln(\hat{\phi}_{\vec{k}}) k a \delta t) \quad (63)$$

Again the change (proprtional  $\delta t$  is the complex conjugate of the original. Thus this part of the term will result in a Boguliubov transformation whith  $A_{\vec{k}}(t + \delta t)$  being a combination of the annihilation and creation operators at time  $t + \delta t$ . Now however, the time dependent term  $\frac{\dot{a}}{a}$  scales as  $1/k^2$ , and the extra tau derivative of this scales as  $1/k^3$  and the square of this times  $ka\delta t$  scales as  $1/k^2$ . The integral of this squared goes as  $\int 1/k^4 d^3k$  is finite. Ie, we have a finite number of particles created if we define particles via the Hamiltonian diagonalisation for the  $\hat{H}$  rather than the original  $H$ .

Of course  $\hat{H}$  is not the real Hamiltonian, or the real energy of the system.

This whole argument, which was given by L Parker (joined later by S Fulling) in the late 1960's and early 1970's raises the troublesome question– what does one mean by particles in quantum field theory in General Relativity?

It is a problem which is still troublesome even now, 50 years later.